



SECRETARÍA DE HACIENDA Y CRÉDITO PÚBLICO

COMISIÓN NACIONAL DE SEGUROS Y FIANZAS

"2003. Año del CCL Aniversario del Natalicio de Don Miguel Hidalgo y Costilla, Padre de la Patria".

México, D. F., 5 de noviembre de 2003

OFICIO-CIRCULAR SF- 57/03

ASUNTO: Se da a conocer lista de personas incluidas por el Comité de Sanciones derivado de la resolución 1267 del Consejo de Seguridad de las Naciones Unidas, pertenecientes o asociadas con el Talibán y la organización Al-Qaida.

A LAS INSTITUCIONES Y SOCIEDADES MUTUALISTAS DE SEGUROS E INSTITUCIONES DE FIANZAS

La Secretaría de Hacienda y Crédito Público, Dirección General de Seguros y Valores mediante Oficio No. 366-III-E-3501 de 17 de octubre último, con fundamento en el artículo 32 de su Reglamento Interior, solicita a esta Comisión dé a conocer a esas instituciones y sociedades, la lista con los nombres de personas incluidas por el Comité de Sanciones derivado de la resolución 1267 del Consejo de Seguridad de las Naciones Unidas, pertenecientes o asociadas con el Talibán y la organización Al-Qaida, listas que fueron remitidas por la Embajada de los Estados Unidos de América.

Por lo expuesto, se anexa al presente la lista de que se trata, la cual se da a conocer en su versión original en idioma inglés, solicitándoles lo siguiente:

1. Que extremen precauciones con respecto a los negocios y operaciones que pudiesen llevar a cabo con las personas señaladas en la lista que nos ocupa.
2. Reporten, por conducto de esta Comisión, a la Dirección General Adjunta de Investigación de Operaciones en términos de la regulación aplicable, aquellas transacciones que pudieran estar relacionadas con las personas señaladas en la lista de referencia.

3. En virtud de que dichas personas pudiesen estar vinculadas con actividades terroristas, actualizar las hipótesis previstas en nuestra regulación y considerar las operaciones que éstas realicen como inusuales, en términos de las Disposiciones de Carácter General a que se refieren los artículos 140 de la Ley General de Instituciones y Sociedades Mutualistas de Seguros y 112 de la Ley Federal de Instituciones de Fianzas.
4. Permanezcan atentas a cualquier requerimiento de esta Comisión.

Lo anterior se hace de su conocimiento con fundamento en los artículos 108 fracción IV de la Ley General de Instituciones y Sociedades Mutualistas de Seguros, 68 fracción VI de la Ley Federal de Instituciones de Fianzas y de conformidad con el Acuerdo por el que la Junta de Gobierno de la Comisión Nacional de Seguros y Fianzas delega en el presidente la facultad de emitir las disposiciones necesarias para el ejercicio de las facultades que la ley le otorga a dicha Comisión, y para el eficaz cumplimiento de la misma y de las reglas y reglamentos, emitido el 2 de diciembre de 1998 y publicado en el Diario Oficial de la Federación el 4 de enero de 1999.

Atentamente
SUFRAGIO EFECTIVO. NO REELECCION.
COMISION NACIONAL DE SEGUROS Y FIANZAS
El Presidente



LIC. MANUEL S. AGUILERA VERDUZCO



ANEXO



New Names Added to UN 1267 Sanctions Committee Asset-Freeze List

Name co:

ABU MUSA'AB AL-ZARQAWI (Co-sponsored with Germany to the UN 1267 Sanctions Committee)

AKAs: Zarkawi; KHALAILAH, Ahmed Fadee I; AL-KHALAYLAH, Ahmad Fadil Nazzal; ABU AL-MU'TAZ; AL-KHALAYEH, Ahmad Fadil Nazal

DOB: 20 October 1966

POB: Zarqa, Jordan

Passport Number: Z264958; Issued 4 April 1999, valid through 4 April 2004 (also using fraudulent Lebanese and Saudi passports (NFI))

Citizenship: Jordan

National No. 9661031030

BEGIN TALKING POINTS:

-- On September 23 the UN 1267 Sanctions Committee added the names of six individuals to its asset-freeze list of individuals and entities maintained pursuant to UNSCRs 1267, 1390, and 1455 because of their links to al-Qa'ida, the Taliban, or Usama bin Laden. These names were submitted to the Committee on September 19 by Germany.

-- Given the significance of one name, ABU MUSA'AB AL-ZARQAWI, (AKA: Ahmad Fadil Nazal AL-KHALAYLEH), the U.S. Government co-sponsored the submission of this name to the UN.

-- UN member states are obligated to freeze without delay the funds and other financial assets of all 6 designated individuals, including Zarqawi, and to ensure that no other funds or economic resources are made available to them by their nationals or persons within their jurisdiction. States must also deny them entry or transit, and must ensure that no arms or related materials or services are provided to them.

-- The U.S. Government domestically designated and froze the assets of ABU MUSA'AB AL-ZARQAWI, plus the five other names approved by the UN 1267 Sanctions Committee.

-- We hope that your government undertakes swift and effective measures to impose prohibitions on providing any form of assistance to these individuals and freezing their assets, in accordance with UNSCRs 1267/1390/1455, without delay.

-- We hope you will share with us any actions taken to prohibit providing any form of assistance to the six individuals or blocking of assets that you undertake. In addition, we would welcome any information that you would like to share with us regarding the activities of these or related individuals.

-- We appreciate the close cooperation with your country in this effort.

END TALKING POINTS

BEGIN STATEMENT OF CASE

ABU MUSA'AB AL-ZARQAWI

AKAs: Zarkawi; KHALAILAH, Ahmed Fadee I; AL-KHALAYLAH, Ahmad Fadil Nazzal; ABU AL-MU'TAZ; AL-KHALAYEH, Ahmad Fadil Nazal

Abu Musa'ab al-ZARQAWI, a Jordanian citizen, has ties to al-Qa'ida, Asbat al-Ansar, Ansar al-Islam and Hizballah. In addition to providing financial and material support for the assassination of a U.S. diplomat, he has participated in acts of terrorism, trained terrorists, led terrorist cells, facilitated transport of terrorists and is being cited in the international press as a suspect in the recent devastating bombing of the Jordanian embassy in Baghdad.

ZARQAWI has arranged training for terrorists at al-Qa'ida camps. While he was in Pakistan, ZARQAWI made contact with al-Qa'ida to train Jordanians. His operatives (called "Jund al-Sham") began to arrive in Afghanistan in large numbers in 1999. Some of these operatives trained at al-Qa'ida's al-Faruq Camp, where they received full support from al-Qa'ida. ZARQAWI eventually established his own cell and camp in Herat, Afghanistan.

Plans were made to send ZARQAWI's operatives to meet with Asbat al-Ansar (designated under E.O. 13224 as a Specially Designated Global Terrorist on September 24, 2001 and as a Foreign Terrorist Organization on March 27, 2002), Hizballah and any other group that would enable them to smuggle mujaheddin into Palestine. This plan was launched by ZARQAWI with other terrorist leaders in order to smuggle operatives into Israel to conduct operations. In addition to being tasked with finding a mechanism that would enable more suicide bombers to enter Israel, these operatives were also sent to provide training on explosives, poisons, and remote controlled devices.

In October 2000, ZARQAWI was indicted in absentia in Jordan for his role in the al-Qa'ida Millennium bombing plot targeting the Radisson SAS hotel in Amman as well as other American, Israeli, and Christian religious sites in Jordan.

In mid 2001, ZARQAWI returned to Qandahar from Herat. At this time, he had received more than U.S. \$35,000 for work in Palestine. ZARQAWI planned to use the money to bring more Jordanian and Palestinian mujaheddin to the camp in Herat, to purchase passports, and to facilitate travel to Lebanon. He received assurances that further financing would be provided for attacks against Israel. In early 2002, ZARQAWI was reported to have found a way into Palestine.

On October 28, 2002, U.S. diplomat Laurence Foley, an officer with the U.S. Agency for International Development, was assassinated in Amman, Jordan. ZARQAWI provided financial and material support for this assassination. Key individuals involved in both the planning and execution of the operation had strong ties to Afghan Jihad, the International Mujaheddin Movement, and al-Qa'ida. One of these individuals, Salim Sa'd Salim Bin-Suwayd, a member of al-Qa'ida, received more than U.S. \$50,000 for his cooperation in planning assassinations in Jordan against U.S., Israeli, and Jordanian government officials. ZARQAWI instructed Suwayd to hide after he had completed his first operation and to plan to pursue additional operations against Israeli and Jordanian targets in Amman in the future. Jordanian authorities arrested Suwayd for the murder. The trial of Suwayd, a Libyan national, is currently underway in Jordan.

In late 2002, ZARQAWI traveled to Iraq where he initiated plans to smuggle additional small arms, explosives, and rockets (NFI) into Jordan for use by his terrorist cell which was co-located with the Kurdish extremist group Ansar al-Islam.

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Additional information on ZARQAWI can be found in excerpts from Secretary of State Colin L. Powell's Remarks to the United Nations Security Council on 5 February 2003 at www.state.gov//document/organization/20124.pdf.

ZARQAWI'S GERMAN CELL

The German government has established that ZARQAWI is the operational leader of Al Tawhid, an organization with close personal and organizational links to the al-Qa'ida network. Al Tawhid, which has the figurative meaning of "unity of all the faithful" - is the name of a Palestinian Sunni movement with roots in Jordan. It is waging a campaign against the Jordanian monarchy, which it rejects as "un-Islamic." Based on a militant interpretation of Islam, the Al Tawhid movement promotes and supports the "jihad" of all fellow-believers worldwide; in particular, the "fight against non-believers and crusaders" led by Usama bin Laden and al-Qa'ida.

The German government notes that an independent Al Tawhid cell was formed in Germany by September 2001. Formed around Mohamed ABU DHESS, the cell worked in both an isolated and clandestine manner. In addition to ZARQAWI, members of the cell included Mohamed ABU DHESS, Shadi ABDALLA, Aschraf Al-DAGMA, and Ismail SHALABI, who were living in Beckum, German. In early September 2001, ZARQAWI met his confidant, Mohamed ABU DHESS, in Iran and instructed him to commit terrorist attacks against Jewish or Israeli facilities in Germany with "his people."

According to the German government, the group was involved in gathering donations, smuggling "fighters" and forging passports, but then increasingly concentrated on planning the attacks in Germany. ZARQAWI urged them to carry out his instructions swiftly. The members of the cell planned to use a pistol fitted with a silencer to carry out an attack on a busy square in a German town or city and to explode hand grenades in another German town in the immediate vicinity of an Israeli or Jewish property with the aim of killing as many people as possible. The attacks were supposed to be carried out by Shadi ABDALLA, Aschraf AL-DAGMA and Ismail SHALABI.

The German government has also stated that Shadi ABDALLA, a trusted ally of ZARQAWI with close contacts to Mohamed ABU DHESS, was instructed to identify potential targets in German cities and, above all, to obtain the necessary weapons. In March 2002 he ordered a pistol fitted with a silencer and a crate of hand grenades from Djamel MOUSTFA, a supporter of the cell based in D|sseldorf. However, before the weapons could be delivered, Shadi ABDALLA,

Mohamed ABU DHESS, Aschraf AL-DAGMA, Ismail SHALABI and Djamel MOUSTFA were arrested along with other suspects on April 23, 2002. All five of them are currently in detention awaiting trial.

Shadi ABDALLA was indicted by the German Public Prosecutor General of the Federal Court of Justice on May 15, 2003 before the State Security Division of the D|sseldorf Higher Regional Court for being a member of a terrorist organization and for the organized forging of passports. The investigations against Mohamed ABU DHESS, Aschraf AL-DAGMA, Ismail SHALABI, and Djamel MOUSTFA are still ongoing.

In addition to ZARQAWI, the German government has submitted several Al Tawhid members to the United Nations to be added to the UN Security Council Resolution 1267-list of terrorists and terrorist supporters. The U.S. supports this action by the German government and urges the UN to designate the following individuals:

- Mohamed ABU DHESS
- Shadi ABDALLA
- Aschraf AL-DAGMA
- Ismail SHALABI
- Djamel MOUSTFA

END STATEMENT OF CASE.